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A REMARK ON GIUGA'S CONJECTURE AND LEHMER'S TOTIENT PROBLEM

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ABSTRACT. Giuga has conjectured that if the sum of the $(n-1)$-st powers of the residues modulo n is $-1 \pmod n$, then n is 1 or prime. It is known that any counterexample is a Carmichael number. Lehmer has asked if $\varphi(n)$ divides $n-1$, with φ being Euler's function, must it be true that n is 1 or prime. No examples are known, but a composite number with this property must be a Carmichael number. We show that there are infinitely many Carmichael numbers n that are not counterexamples to Giuga's conjecture and also do not satisfy $\varphi(n) \mid n-1$.

1. Introduction

1.1. Carmichael numbers. In a letter to Frenicle dated October 18, 1640, Fermat wrote that if p is a prime number, then p divides $a^{p-1}-1$ for any integer a not divisible by p. This result, known as *Fermat's little theorem*, is equivalent to the statement:

$$a^p \equiv a \pmod p \qquad \text{for all } a \in \mathbb{Z}.$$

Almost three centuries later, Carmichael [5] began an in-depth study of *composite* natural numbers n with the property that

$$a^n \equiv a \pmod n \qquad \text{for all } a \in \mathbb{Z};$$

these are now called *Carmichael numbers*. More than eighty years elapsed after Carmichael's initial investigations before the existence of infinitely many Carmichael numbers was established by Alford, Granville, and Pomerance [1]. Denoting by $\mathcal{C}$ the set of Carmichael numbers, it is shown in [1] that for every $\varepsilon > 0$ and all sufficiently large X, the lower bound

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C}\}\big| \geqslant X^{\beta-\varepsilon} \tag{1}$$

holds, where

$$\beta = \beta_0 = \frac{5}{12}\left(1 - \frac{1}{2\sqrt{e}}\right) = 0.290306\cdots > \frac{2}{7}\,.$$

More recently, Harman [7] has shown that the lower bound (1) holds with the larger constant $\beta = \beta_1 = 0.3322408$.

The purpose of the present note is to show that the bound (1) with $\beta = \beta_1$ also holds with a set of Carmichael numbers $n \leqslant X$ that are consistent with *Giuga's conjecture* and support the nonexistence of examples to *Lehmer's totient problem.* Our results are described in more detail below.

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1.2. **Giuga's conjecture.** Fermat's little theorem implies

$$p \mid 1^{p-1} + 2^{p-1} + \cdots + (p-1)^{p-1} + 1$$

for every prime p. In 1950, Giuga [6] conjectured that the converse is true, i.e., that there are no *composite* natural numbers n for which

$$1^{n-1} + 2^{n-1} + \cdots + (n-1)^{n-1} \equiv -1 \pmod{n},$$

and he verified this conjecture for all $n \leqslant 10^{1000}$. Any counterexample to Giuga's conjecture is called a *Giuga number*.

Denoting by $\mathcal{G}$ the (presumably empty) set of Giuga numbers, Giuga showed that $n \in \mathcal{G}$ if and only if n is composite and

$$p^2(p-1) \mid n - p \qquad \text{for every prime } p \text{ dividing } n. \tag{2}$$

As this condition implies that n is squarefree, every Giuga number is a Carmichael number in view of the following criterion.

Korselt's criterion. *For a positive integer n, $a^n \equiv a \pmod{n}$ for all integers a if and only if n is squarefree and $p-1$ divides $n-1$ for every prime p dividing n.*

The condition (2) appears to be a much stronger requirement for a composite natural number n to satisfy than Korselt's criterion, thus it is reasonable to expect that there are infinitely many Carmichael numbers which are *not* Giuga numbers. Indeed, it is widely believed (see [1]) that

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C}\}\big| = X^{1+o(1)} \qquad \text{as } X \to \infty,$$

whereas Luca, Pomerance and Shparlinski [10] have established the bound

$$\big|\{n \leqslant X \ : \ n \in \mathcal{G}\}\big| \ll \frac{X^{1/2}}{(\log X)^2}\,, \tag{3}$$

improving slightly on a result of Tipu [15]. However, the result that $\mathcal{C} \setminus \mathcal{G}$ is an infinite set does not follow from (3) and the unconditional bound (1) with $\beta = \beta_1$. Nevertheless, we are able to prove the following result.

Theorem 1. *For any fixed $\varepsilon > 0$ and all sufficiently large X, we have*

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C} \setminus \mathcal{G}\}\big| \geqslant X^{\beta_1 - \varepsilon}.$$

It is known that if n is a Giuga number, then

$$-\frac{1}{n} + \sum_{p \mid n} \frac{1}{p} \in \mathbb{N}. \tag{4}$$

There are known composites that satisfy (4), for example $n = 30$. A *weak Giuga number* is a composite number n satisfying (4). Denoting by $\mathcal{W}$ the set of weak Giuga numbers, we have $\mathcal{G} \subset \mathcal{W}$, hence Theorem 1 is an immediate consequence of the following theorem.

Theorem 2. *For any fixed $\varepsilon > 0$ and all sufficiently large X, we have*

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C} \setminus \mathcal{W}\}\big| \geqslant X^{\beta_1 - \varepsilon}.$$

Our proof of Theorem 2 is given in §2 below.

1.3. **Lehmer's totient problem.** Let φ denote *Euler's function.* In 1932, Lehmer [8] asked whether there are any *composite* natural numbers n for which $\varphi(n) \mid n-1$. This question, known as Lehmer's totient problem, remains unanswered to this day.

Denote by $\mathcal{L}$ the (possibly empty) set of composite natural numbers n such that $\varphi(n) \mid n-1$. It follows easily from Euler's theorem that every element of $\mathcal{L}$ is a Carmichael number. On the other hand, one expects that there are infinitely many Carmichael numbers which do *not* lie in $\mathcal{L}$.

In a series of papers (see [11, 12, 13]), Pomerance considered the problem of bounding the number of natural numbers $n \leqslant X$ that lie in $\mathcal{L}$. In his third paper [13], he established the bound

$$\big|\{n \leqslant X \ : \ n \in \mathcal{L}\}\big| \ll X^{1/2}(\log X)^{3/4}. \tag{5}$$

Refinements of the underlying method of [13] led to subsequent improvements of the bound (5) by Shan [14], Banks and Luca [4], Banks, Güloğlu and Nevans [3], and Luca and Pomerance [9]; however, it is still unknown whether the bound

$$\big|\{n \leqslant X \ : \ n \in \mathcal{L}\}\big| \ll X^{\alpha}$$

holds with some constant $\alpha < 1/2$. In particular, the result that $\mathcal{C} \setminus \mathcal{L}$ is an infinite set does not follow from only the unconditional bound (1) with $\beta = \beta_1$. In this note we prove the following theorem.

Theorem 3. *For any fixed $\varepsilon > 0$ and all sufficiently large X, we have*

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C} \setminus \mathcal{L}\}\big| \geqslant X^{\beta_1 - \varepsilon}.$$

Our proof of Theorem 3 is given in §2 below.

2. Construction

Let $\mathcal{N}$ denote the set of composite natural numbers n such that

$$\sum_{p \mid n} \frac{1}{p} < \frac{1}{3}\,.$$

Lemma 1. *The sets $\mathcal{N}$ and $\mathcal{W}$ are disjoint.*

Proof. Let $n \in \mathcal{N}$. Since

$$\frac{1}{n} < \sum_{p \mid n} \frac{1}{p} < \frac{1}{3} < 1 + \frac{1}{n}\,,$$

it is clear that

$$\sum_{p \mid n} \frac{1}{p} \not\equiv \frac{1}{n} \pmod 1,$$

hence n is not a weak Giuga number. □

Lemma 2. *The sets $\mathcal{N}$ and $\mathcal{L}$ are disjoint.*

Proof. Let $n \in \mathcal{N}$. Using the inequality

$$\log(1-t) > -2\,t \qquad (0 < t \leqslant 1/2),$$

we have

$$\log \frac{\varphi(n)}{n} = \log \prod_{p \mid n} \left(1 - \frac{1}{p}\right) = \sum_{p \mid n} \log\left(1 - \frac{1}{p}\right) > -2 \sum_{p \mid n} \frac{1}{p} > -\frac{2}{3}\,.$$

Consequently,

$$\frac{n-1}{\varphi(n)} < \frac{n}{\varphi(n)} < e^{2/3} < 2, \tag{6}$$

and it follows that $n \notin \mathcal{L}$. Indeed, (6) and the condition $\varphi(n) \mid n-1$ together imply that $n = 1$ or $\varphi(n) = n-1$, which possibilities cannot occur for a composite natural number n. □

In view of Lemmas 1 and 2, Theorems 2 and 3 follow from the following result.

Theorem 4. *For any fixed $\varepsilon > 0$ and all sufficiently large X, we have*

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C} \cap \mathcal{N}\}\big| \geqslant X^{\beta_1 - \varepsilon}.$$

Proof. With the existing proofs of the infinitude of Carmichael numbers given in [1] and [7], a careful reading, or with small changes, shows that the Carmichael numbers constructed lie in $\mathcal{N}$. Since Harman [7, Theorem 1] has the stronger result, we give the details on how that proof supports our assertion. As mentioned, he has shown that for every $\varepsilon > 0$ and all sufficiently large X, the lower bound

$$\big|\{n \leqslant X \ : \ n \in \mathcal{C}\}\big| \geqslant X^{\beta_1 - \varepsilon} \tag{7}$$

holds. To prove Theorem 4, it suffices to show that the Carmichael numbers constructed by Harman all lie in $\mathcal{N}$ if X is large enough. We begin with the following statement, which is [7, Theorem 3].

Lemma 3. *Let $\varepsilon > 0$, and suppose $y \geqslant y_0(\varepsilon)$. Put*

$$\delta = \frac{\varepsilon\,\theta}{1.888}\,, \qquad x = \exp\left(y^{1+\delta}\right), \qquad \theta = \frac{1}{0.2961}\,.$$

Then there is a positive integer $k < x^{0.528}$ and a set of squarefree numbers $\mathcal{B}$ such that

- *(i)* $\mathcal{B} \subset [x^{0.4}, x^{0.472}]$;
- *(ii)* $|\mathcal{B}| > x^{\beta_1 - \varepsilon}$;
- *(iii)* $dk + 1$ *is prime for every* $d \in \mathcal{B}$;
- *(iv)* *if* $p \mid d$, *then*

$$0.5\, y^{\theta} < p < y^{\theta}, \qquad p \nmid k, \qquad P(p-1) < y,$$

where $P(n)$ denotes the greatest prime factor of n.

Let n be one of the Carmichael numbers constructed in [7, Theorem 1]. Such a number n is composed of at most $t = \exp\left(y^{1+\delta/2}\right)$ primes of the form $p = dk + 1$ with $d \in \mathcal{B}$, so that

- $n \leqslant X$, where $X = x^t$;
- $p \geqslant x^{0.4}$ for every prime $p \mid n$.

Taking into account that $t = x^{o(1)}$ as $x \to \infty$, it follows that

$$\sum_{p \mid n} \frac{1}{p} \leqslant t\, x^{-0.4} < \frac{1}{3}$$

if x is sufficiently large. Since the value of x is determined uniquely by X, this shows that the Carmichael number n lies in $\mathcal{N}$ once X is large enough, completing the proof. □

We remark that in [2] it is shown that for each fixed k there are infinitely many Carmichael numbers n with $\sum_{p \mid n} 1/p < 1/(\log n)^k$. This result too supports our principal assertion that $\mathcal{C} \cap \mathcal{N}$ is infinite, but the bound for the counting function proved here is even smaller than that given in [1]. On the other hand, it is not known if there is some $\varepsilon > 0$ such that for infinitely many Carmichael numbers n we have $\sum_{p \mid n} 1/p > \varepsilon$. In particular, it is not known if the set $\mathcal{C} \setminus \mathcal{N}$ is infinite.

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